

PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO	Application Number	10/631,243	
	Filing Date	July 31, 2003	
INFORMATION DISCLOSURE	First Named Inventor	Seaman	
STATEMENT BY APPLICANT	Art Unit		
	Examiner Name		
(use as many sheets as necessary)			
Sheet 1 of 3	Attorney Docket Number	020420	

	U.S. PATENT DOCUMENTS					
Examiner Cite	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where	
Initials*	No.1	Number - Kind Code ² (if known)		Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
/A.L./	1	US 2002/0032642 A1	03-14-2002	Chichilnisky		
/A.L./		US 2002/0065752 A1	05-30-2002	Lewis		
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T [®]	
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Examiner	/Alan Liu/	Date	06/17/2008
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PI-1084405 v1

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valid OMB control number Complete if Known Substitute for form 1449A/PTO Application Number 10/631,243 Filing Date July 31, 2003 INFORMATION DISCLOSURE **First Named Inventor** Seaman STATEMENT BY APPLICANT Art Unit **Examiner Name** (use as many sheets as necessary) Attorney Docket Number 020420 Sheet 2

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials* Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the article (when appropriate)		T²			
/A.L./		"Xcitek offers Exchange Data International data via Xcitek.com," printed from http://www.exchange-data.com/products/press/xcitek.asp Internet site, accessed on 6/28/2002, 3 pages.			
/A.L./		"Corporate Actions Processing System," printed from http://www.exchange-data.com/products/corpactprocess.asp Internet site, accessed on 6/28/2002, 5 pages.			
/A.L./		CRISTINA McEACHERN, "Raymond James Signs With Xcitek For Corporate Actions Processing," Wall Street & Technology Magazine, printed from http://www.wallstreetandtech.com/story/mag/WST20010514S0011 Internet site, accessed on 6/27/2002, 2 pages.			
/A.L./		"eActions: A Unique and Exciting Corporate Actions Notification Service," printed from http://www.eactions.hubdata.com/ Internet site, accessed on 6/27/2002, 1 page.			
/A.L./		"Meeting the Needs of Growing Advisors," printed from http://www.eactions.hubdata.com/info.asp Internet site, accessed on 6/27/2002, 2 pages.			
/A.L./		"Frequently Asked Questions," printed from http://www.eactions.hubdata.com/faq.asp Internet site, accessed on 6/27/2002, 2 pages.			
/A.L./		"CAMS Corporate Actions Management System," printed from http://www.effsols.com/statichtml/camspg.html Internet site, accessed on 6/11/2002, 1 page.			
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	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials* Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the article (when appropriate)			T²			
/A.L./		XCITEK CONSULTING SERVICES, INC., "System Features & Functionality," September 1996, New York, NY, 17 pages.				
/A.L./		XCITEK and SOLUTIONS PLUS, "The Xcitek Solutions Plus Corporate Actions Processing Application, System Features & Functionality," March 1999, New York, NY, 32 pages.				
/A.L./		"Xcitek Update," Summer 1996, 1 page.				
/A.L./		"Now You Can Take Complete Control of all Corporate Actions Plus Provide Proper Notification to Your Clients," Solutionsplus, 1 page.				
/A.L./		United States Patent Application No. 10/631,165, filed July 31, 2003. (Attorney Docket No. 020673)				

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